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PTO/SB/05 (03-01)

Approved for use through 10/31/2002 OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **2001-201**
 First Inventor **Mohammed Abu Ayshi**
 Title **CHARACTER RECOGNITION SYSTEM AND
METHOD USING SPATIAL AND STRUCTURAL FEATURE
EXTRACTION**
 Express Mail Label No. **ET863382166US**

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status
See 37 CFR 1.27.
3. Specification [Total Pages **38**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets **25**]
5. Oath or Declaration [Total Pages]
 a. Newly executed (original or copy)
 b. Copy from a prior application (37 CFR 1.63 (d))
 b. (for continuation/divisional with Box 18 completed)
- i. **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s)
 named in the prior application, see 37 CFR
 1.63(d)(2) and 1.33(b)
6. Application Data Sheet See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

J1050 U.S. PRO
09/15/2001
11/21/01

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement (when there is an assignee) Power of Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76. *Priority based on Provisional No. 60/252,817 is claimed*

Continuation Divisional Continuation-in-part (CIP) filed of prior application No. _____ / _____

Nov. 22, 2001 Group Art Unit _____

Prior application information

Examiner _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	<i>(Insert Customer No. or Attach bar code label here)</i>			<input checked="" type="checkbox"/> Correspondence address below
Name	LOUIS J. FRANCO			
Address	LAW OFFICES OF LOUIS J. FRANCO - LUNENBURG			
City	LUNENBURG	State	MA	Zip Code 01462
Country	UNITED STATES	Telephone	978-582-5550	Fax (617) 742-8884

Name (Print/Type)	LOUIS J. FRANCO	Registration No. (Attorney/Agent)	38,885
Signature	<i>Louis J. Franco</i>		Date Nov. 21, 2001

Burden Hour Statement: This form is estimated to take 0 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Express Mail Label No. ET 863382166 US Deposited Nov. 21, 2001

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 864.00)

Complete if Known

Application Number	38,885
Filing Date	November 21, 2001
First Named Inventor	Mohammed Abu Ayshi
Examiner Name	
Group Art Unit	
Attorney Docket No	2001-201

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

 Deposit Account

Deposit Account Number	50-0646
Deposit Account Name	LOCKHEED MARTIN - OWEGO

FEE CALCULATION (continued)

3. ADDITIONAL FEES

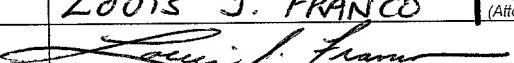
Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Description	
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1 17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40.00
146 740	246 370	Filing a submission after final rejection (37 CFR § 1 129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1 129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) _____			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00)

**or number previously paid, if greater. For Reissues, see above

SUBMITTED BY

Name (Print/Type)	LOUIS J. FRANCO	Registration No (Attorney/Agent)	38,885	Telephone	978-582-5550
Signature				Date	Nov. 21, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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CERTIFICATE OF EXPRESS MAILING

(37 C.F.R. 1.10)

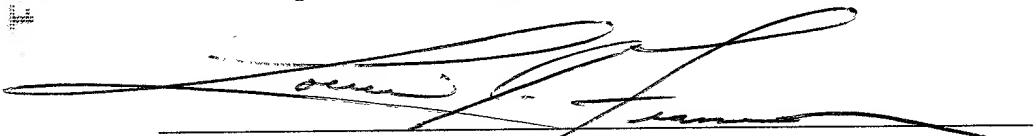
I hereby certify that this correspondence** is being deposited with the United States Postal Service as Express Mail Post Office to Addressee, Express Mail Label Number ET 863382166 US in an envelope addressed to:

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on November 21, 2001.

+Use of this alternative address for Patent Application filing date is in accordance with instructions received from the Patent Legal Admin. Office on November 20, 2001

Name of person mailing correspondence: Louis J. Franco*



Signature

Date of Signature: November 21, 2001

*Louis J. Franco is registered to practice in patent cases before the United States Patent and Trademark Office and is the holder of attorney registration number 38,885.

For purposes of identification if this certificate should become separated from the contents of this package, the first-named/sole of the inventor MOHAMMED ABU AYSHI and the title of the invention is **CHARACTER RECOGNITION SYSTEM AND METHOD USING SPATIAL AND STRUCTURAL FEATURE EXTRACTION.

THE APPLICATION IS A NON-PROVISIONAL Atty. Docket # 2001-201

This certificate covers at least each document bearing the Express Mail No. ET 863382166 US